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In re application of:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit:

Unknown

THOMAS KORNEK ET AL.

Examiner:

Unknown

Serial No.:

10/521,377

Filed:

January 18, 2005

For:

CONTINUOUS PRODUCTION OF ORGANOSILANES

Attorney Docket No.: WAS 0678 PUSA

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Mail Stop Amendment Commissioner for Patents U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This Statement is being filed in accordance with 37 C.F.R. § 1.97(b).

While this Statement is being filed in compliance with the duty of disclosure, citation of the listed references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on:

عمله ۱۹٬ ۲۰۵۲

Date of Deposit Name of Person Signing

William G. Conger

Signature

Accompanying the Information Disclosure Statement is the statement of Dr. Helmut Fritz describing the content of the references cited in the Information Disclosure Statement.

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). Consideration and entry into the record of the listed references is respectfully requested.

Respectfully submitted,

THOMAS KORNEK ET AL.

William G. Conger Reg. No. 31,209

Attorney/Agent for Applicant

Date: July 19,2005

**BROOKS KUSHMAN P.C.** 

1000 Town Center, 22nd Floor Southfield, MI 48075-1238

Phone: 248-358-4400 Fax: 248-358-3351

## JUL 2 2 2005

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

frire application of KORNEK ET AL. Serial Number

**Group Art Unit:** 

Filed:

For:

CONTINUOUS PREPARATION OF ORGANOSILANES

## <u>Information Disclosure Statement</u>

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir or Madam:

I, Helmut Fritz, associated with the preparation and prosecution of the above-identified application, residing at Kreuzstraße 11, 83624 Otterfing, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in the enclosed International Search Report and may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

US 4,658,050 is already in English language.

JP 7-126271 a Derwent abstract in English language is enclosed.

DE 100 53 037 a Derwent abstract in English language is enclosed.

DE 195 34 853 the corresponding US 5,616,762 in English language is enclosed.

EP 1 201 671 the corresponding US 2002/052520 in English language is enclosed.

EP 1 156 052 is already in English language.

EP 0 239 677 is already in English language.

Signed this 12 th day of January, 2005.

Dr. Helmut Fritz

PTO/SB/08A (10-96) [MODIFIED]
Approved for use through 10/31/99, OMB 0651-0031
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| Substitute for Form 1449A/PTO     |   |    |        | Complete if Known      |                      |  |
|-----------------------------------|---|----|--------|------------------------|----------------------|--|
| 8                                 |   |    |        | Application Number     |                      |  |
|                                   |   |    | LOSURE | Filing Date            | January 17, 2005     |  |
| STATEMENT BY APPLICANT            |   |    |        | First Named Inventor   | THOMAS KORNEK ET AL. |  |
|                                   |   |    |        | Group Art Unit         | Unknown              |  |
| (use as many sheets as necessary) |   |    |        | Examiner Name          | Unknown              |  |
| Sheet                             | 1 | of | 2      | Attorney Docket Number | WAS 0678 PUSA        |  |

|                      | U.S. PATENT DOCUMENTS |                |  |  |  |  |  |  |
|----------------------|-----------------------|----------------|--|--|--|--|--|--|
| Examiner<br>Initials | Cite<br>No.1          | U.S. PATENT DO | CUMENT Kind Code <sup>2</sup> (if known) | Name of Patentee or<br>Applicant of Cited Document | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines, Where Relevant<br>Passages or Relevant Figures Appear |  |  |
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| Examiner<br>Initials | Cite<br>No.1             | Offic | Foreign Patent Docum | nent<br>Kind Code <sup>5</sup><br>( <i>if known</i> ) | Name of Patentee or<br>Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns,<br>Lines, Where Relevant<br>Passages or Relevant<br>Figures Appear |  |  |
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| Examiner  | D | te       |  |
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| Signature | C | nsidered |  |
|           |   |          |  |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B (10-96) [reproduced]
Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Complete if Known Substitute for Form 1449B/PTO **Application Number** INFORMATION DISCE January 17, 2005 Filing Date STATEMENT BY APPLICANT THOMAS KORNEK ET AL. First Named Inventor Unknown Group Art Unit (use as many sheets as necessary) Unknown **Examiner Name** 2 WAS 0678 PUSA Sheet of 2 Attorney Docket Number OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Examiner item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), T <sup>2</sup> Initials Cite No.1 publisher, city and/or country where published. US 2002/052520 is corresponding to EP 1 201 671 US 5,616,762 is corresponding to DE 195 34 853 Derwent Abstract corresponding to DE 100 53 037 Derwent Abstract corresponding to JP 07-126271

| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.